



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TECHNOLOGY CENTER R3700
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Applicants: Hausen, Bernard A. et al.
Assignee: Cardica, Inc.
Title: Method and System for Performing Closed-Chest Bypass Graft Surgery
Serial No.: 09/993,438 Filing Date: November 13, 2001
Examiner: Paul A. Roberts Group Art Unit: 3738
Docket No.: 059

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449, designated "List of Patents and Other Items for Applicant's RCE Information Disclosure Statement," is brought to the attention of the Examiner. Copies are included of the references listed on that form PTO-1449. This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

The first two Information Disclosure Statements previously filed in this case have not been initialed or signed yet to indicate that the cited references have been considered. These Forms PTO-1449 had been returned uninitialed and unsigned with the Office Action of July 11, 2003. Copies of the Forms PTO-1449 from those previously-submitted Information Disclosure Statements are included with this letter. Because copies of the references cited in those Forms PTO-1449 have been provided already in this case, they are not included herewith.

Respectfully submitted,

Brian A. Schar
Attorney for Applicant(s)
Reg. No. 45,076
Cardica, Inc.